

SCHEDULE A
NASA SUBRECIPIENT FLOW DOWN REQUIREMENTS

Article 1 Incorporation of NASA General Provisions

The following provisions are from Title 2 of the Code of Federal Regulations (CFR) and can be found at https://prod.nais.nasa.gov/pub/pub_library/srba/index.html. The provisions are hereby incorporated into this Subaward by reference if applicable:

175.15	Trafficking in persons.
182	Government-wide requirements for Drug-Free Workplace
1800.901	Compliance with OMB Guidance on Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal awards.
1800.902(a)	Technical publications and reports.
1800.905	Change in principal investigator or scope.
1800.907	Equipment and other property.
1800.908	Patent rights.
1800.909	Rights in data.
1800.910	National security.
1800.911	Nondiscrimination.
1800.912	Clean air and water.
1800.913	Investigative requirements.
1800.914	Travel and transportation.
1800.915	Safety.
1800.917	Investigation of research misconduct.
1800.918	Allocation of risk/liability.

Provisions incorporated by reference have the same force and effect as if they were given in full text. The Subrecipient shall ensure that the provisions identified in the above table, if applicable, flow down to all lower-tier subrecipients or are appropriately addressed in all lower-tier subawards.

In the context of this Subaward, the following terms that appear in the CFR shall be substituted with the terms set forth below:

1. "Grant" or "Award" shall be substituted with "Subaward."
2. "Awardee" or "Recipient" shall be substituted with "Subrecipient."

Additionally, where the CFR specifies that the Subrecipient is to contact NASA for approval, notification or disposition, the Subrecipient shall first contact the UCAR Contract Representative identified in Schedule D, "Contact Information," for instructions on how to proceed.

Article 2 Restrictions of Funding Activities with China

- A. Pursuant to The Department of Defense and Full-Year Appropriation Act, Public Law 112-10, Section 1340(a); The Consolidated and Further Continuing Appropriation Act of 2012, Public Law 112-55, Section 539; and future-year appropriations (hereinafter, "the Acts"), NASA is restricted from using funds appropriated in the Acts to enter into or fund any grant or cooperative agreement of any kind to participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level or at any subrecipient level, whether the bilateral involvement is funded or performed under a no-exchange of funds arrangement.
- B. Definition: "China or Chinese-owned Company" means the People's Republic of China, any company owned by the People's Republic of China, or any company incorporated under the laws of the People's Republic of China.
- C. The restrictions in the Acts do not apply to commercial items of supply needed to perform the Subaward.
- D. Subaward - The Subrecipient shall include the substance of this provision in all lower-tier subawards made hereunder.

Article 3 Limited Release of Subrecipient Confidential Business Information

- A. NASA may find it necessary to release information submitted by the Subrecipient pursuant to the provisions of this Subaward to individuals not employed by NASA. Business information that would ordinarily be entitled to confidential treatment may be included in the information released to these individuals. Accordingly, by submission of this proposal, or signature on this Subaward, the Subrecipient hereby consents to a limited release of its confidential business information (CBI).
- B. Possible circumstances where the Agency may release the Subrecipient's CBI include, but are not limited to, the following:
 - 1. To other Agency contractors and subcontractors, and their employees tasked with assisting the Agency in handling and processing information and documents in the evaluation, the award or the administration of Agency contracts, such as providing both pre-award and post award audit support and specialized technical support to NASA's technical evaluation panels;
 - 2. To NASA contractors and subcontractors, and their employees engaged in information systems analysis, development, operation and maintenance, including performing data processing and management functions for the Agency.
- C. Except where otherwise provided by law, NASA will permit the limited release of CBI under subparagraphs (1) or (2) only pursuant to non-disclosure agreements signed by the assisting contractor or subcontractor, and their individual employees who may require access to the CBI to perform the assisting contract.
- D. NASA's responsibilities under the Freedom of Information act are not affected by this clause.
- E. The Subrecipient agrees to include this provision, including this paragraph (e), in all subcontracts/subawards at all levels awarded pursuant to this Subaward that require the furnishing

of confidential business information by the subcontractor/subrecipient.

Article 4 Restrictions on the Use of the NASA Seal, Insignia, Logotype, Program Identifiers, or Flags (December 2014)

- A. In accordance with 14 CFR Part 1221, the NASA Seal, NASA Insignia, NASA Logotype, NASA Program Identifiers, and the NASA Flags are protected and shall be used exclusively to represent NASA, its programs, projects, functions, activities, or elements.
- B. The use of these devices by the Subrecipient shall be governed by the requirements and restrictions set forth at 14 CFR §§ 1221.109-113. Requests for use of these devices by the Subrecipient shall be subject to the prior written approval of the UCAR Contract Representative in conjunction with NASA Headquarters, Office of Communications.
- C. The use of these devices by the Subrecipient for any purpose other than as authorized by NASA regulations shall be prohibited. Their misuse shall be subject to the penalties authorized by statute, as set forth in 14 CFR §1221.115 and shall be reported as provided in 14 CFR §1221.116.